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Hierarchical Segmentation of Polarimetric SAR Images

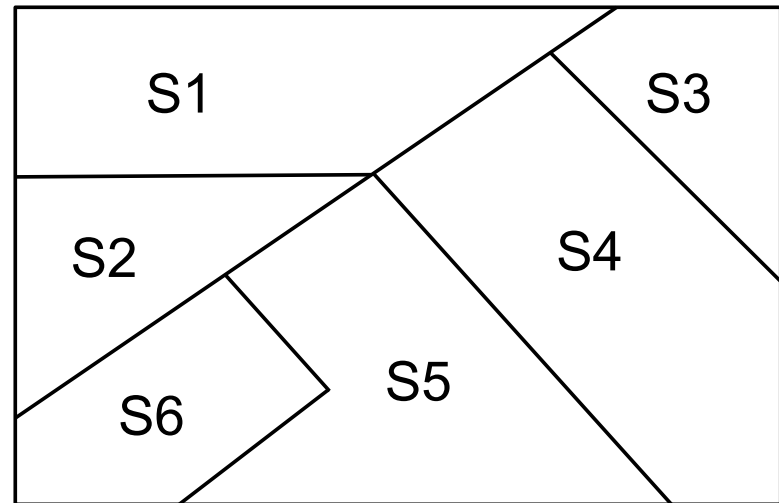
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Hierarchical Segmentation of Polarimetric SAR Images

- Hierarchical Image Segmentation
- As a maximum likelihood estimation problem
- Segmentation of polarimetric images
- Segment sizes – shape constraints
- Results

Image Segmentation
is the division of
the image plane
into regions

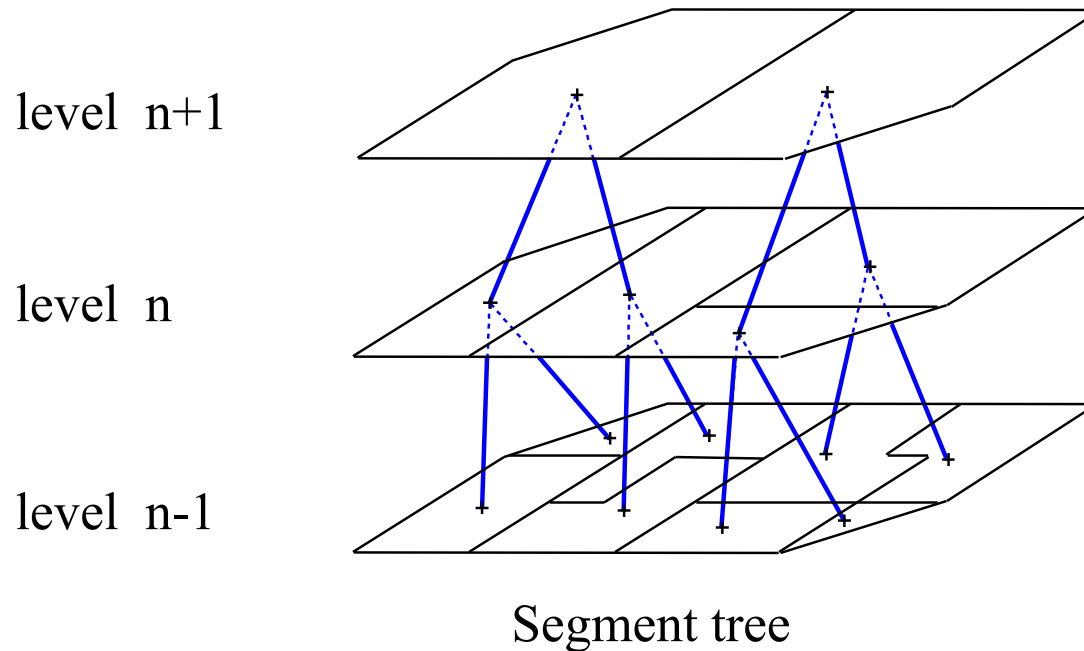


Two basic questions:

- 1- **What** kind of regions do we want ?
 - Homogeneous regions
 - Segment similarity
- 2- **How** can we obtain them ?
 - Algorithm design

HIERARCHICAL SEGMENTATION BY STEP-WISE OPTIMISATION

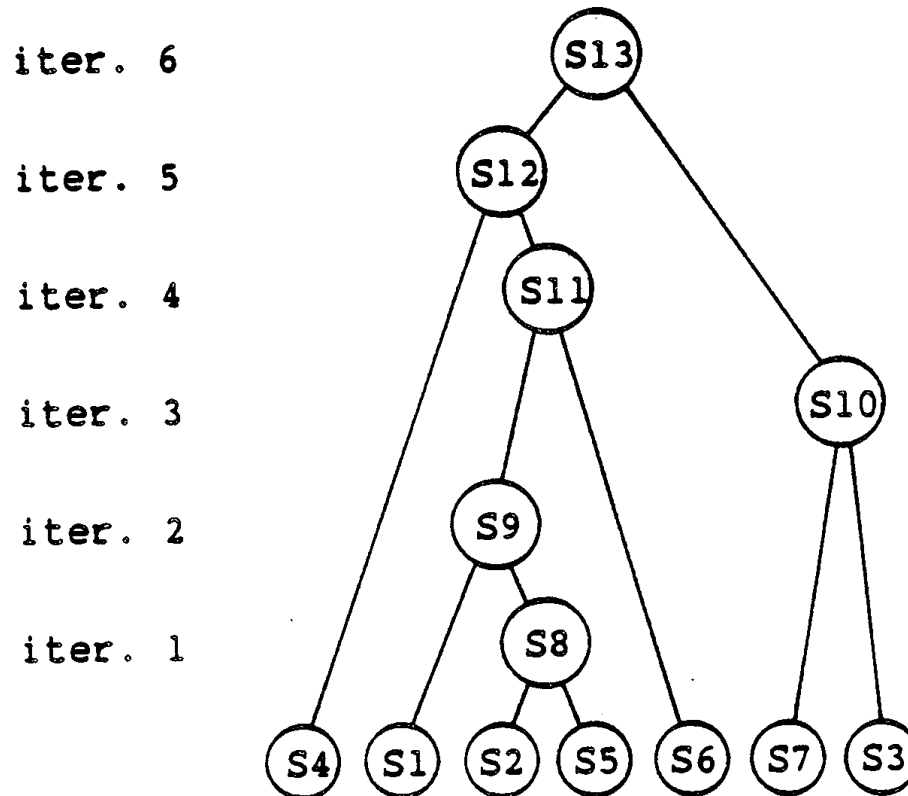
A hierarchical segmentation begins with an initial partition P^0 (with N segments) and then sequentially merges these segments.



STEP-WISE OPTIMISATION

- A criterion, corresponding to a measure of segment similarity, is used to define which segments to merge.
- At each iteration, an optimization process finds the two most similar segments and merges them.
- This can be represented by a segment tree, one node per iteration, where only the two most similar segments are merged.

Sequence of segment merges.



SEGMENTATION AS MAXIMUM LIKELIHOOD ESTIMATION

1) need a partition of the image

$$P = \{s_k\}, \quad s_k = \{i\} \subset I$$

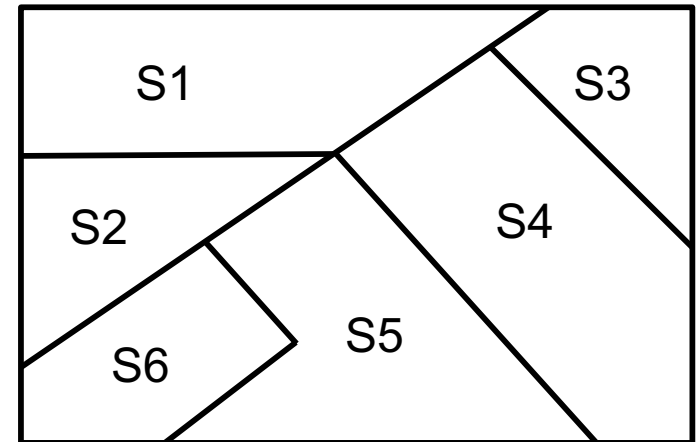
2) need statistical parameters

$$\theta = \{\theta_s\}, \quad s \in P$$

3) need an image probability model

$$p(x_i | \theta_s)$$

x_i are conditionally independent

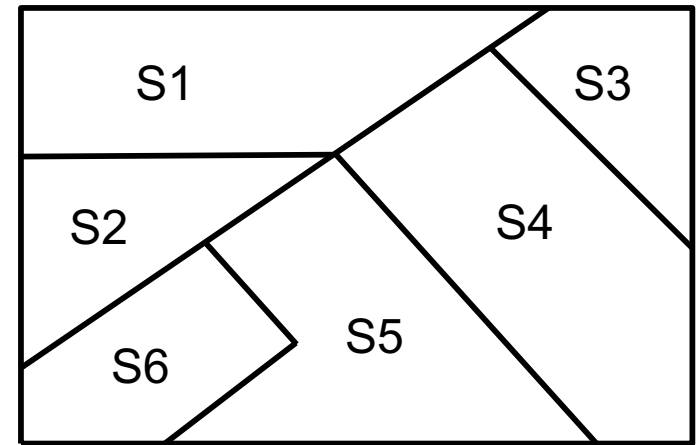


Given an image $X = \{x_i\}$, $i \in I$

the likelihood of $\theta = \{\theta_s\}$, P

is $L(\theta, P | X) = p(X | \theta, P)$

$$L(\theta, P | X) = \prod_{i \in I} p(x_i | \theta_{s(i)}) \Big|_P$$

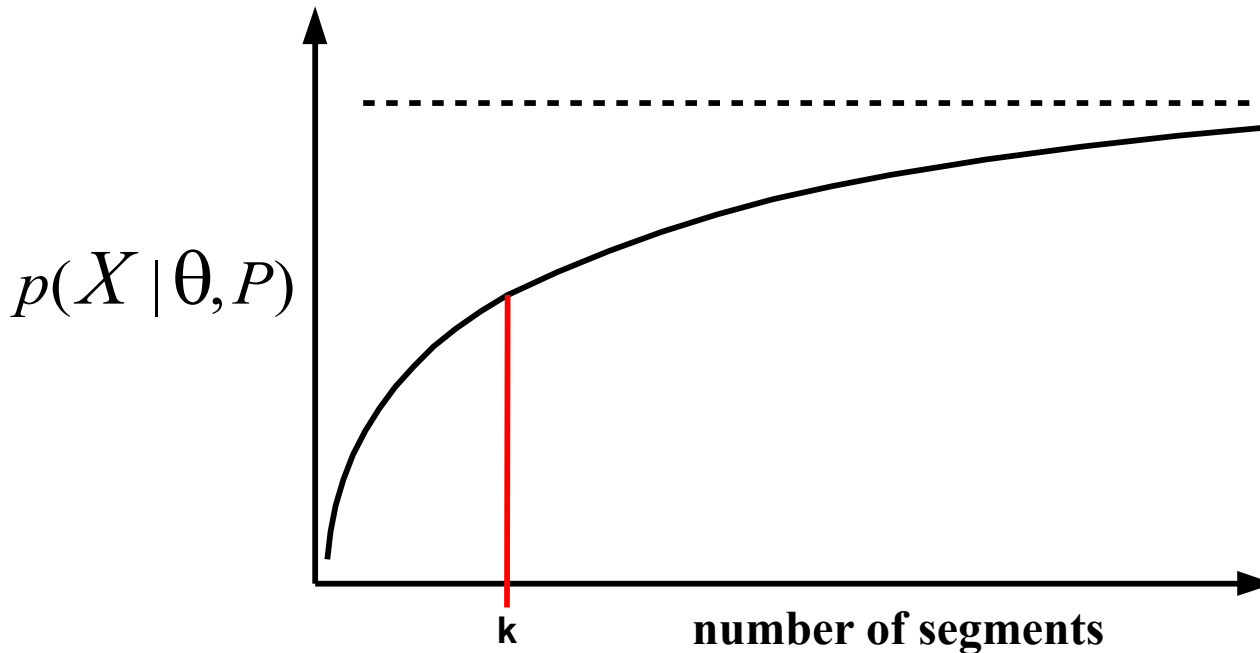


The segmentation problem is to find the partition that maximizes the likelihood.

Global search – too many possible partitions.

θ_s is derived from statistics calculated over a segment s .

The maximum likelihood increases with the number of segments



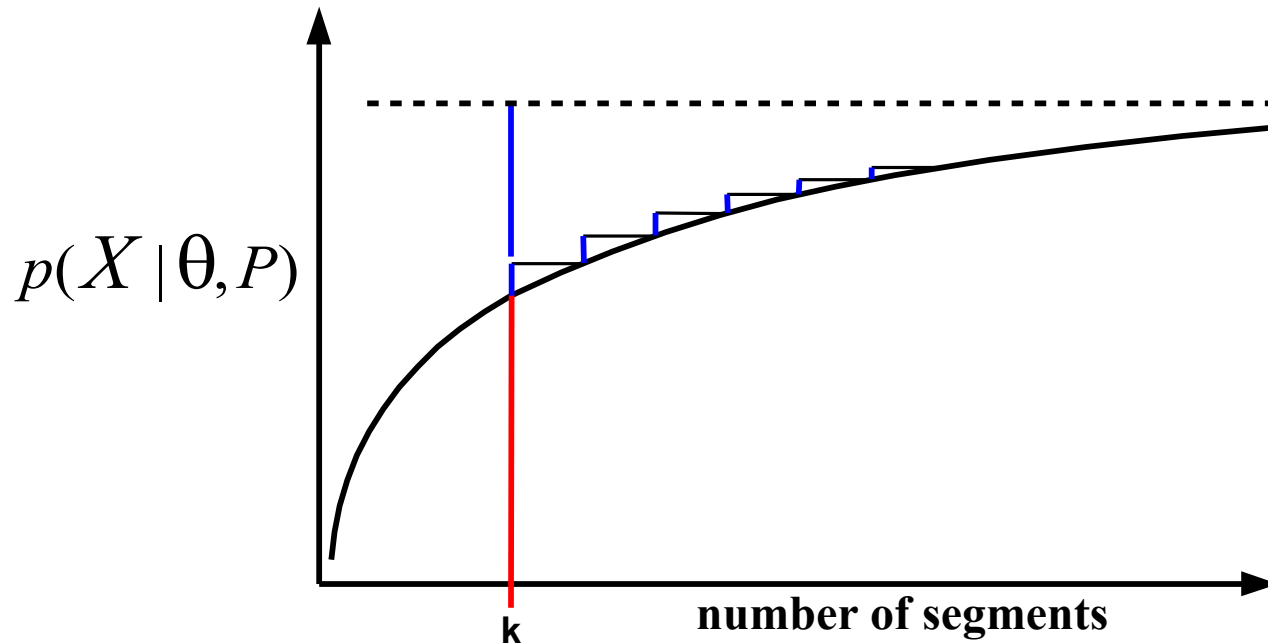
**Can't find the optimum partition with k segments, P_k
Too many, except for P_1 and $P_{n \times n}$.**

Hierarchical segmentation

\rightarrow get P_k from P_{k+1} by merging 2 segments.

Merging criterion:

merge the 2 segments producing the smallest decrease of the maximum likelihood
(stepwise optimization)



Sub-optimum within hierarchical merging framework.

Log likelihood form

$$\ln(L(\theta, P | X)) = \ln\left(\prod_{i \in I} p(x_i | \theta_{s(i)})\right) = \sum_{i \in I} \ln(p(x_i | \theta_{s(i)}))$$

Summation inside region

$$\sum_{s \in P} \sum_{i \in s} \ln(p(x_i | \theta_s)) = \sum_{s \in P} LML(s)$$

Criterion \rightarrow cost of merging 2 segments

$$\Delta = LML(s_i) + LML(s_j) - LML(s_i \cup s_j)$$

$$\Delta = \sum_{x \in s_i} \ln(p(x | \theta_{s_i})) + \sum_{x \in s_j} \ln(p(x | \theta_{s_j})) - \sum_{x \in s_i \cup s_j} \ln(p(x | \theta_{s_i \cup s_j}))$$

minimize $|\Delta|$

POLARIMETRIC SAR IMAGE

Multi-channel image – 3 complex elements

$$x = \begin{bmatrix} hh \\ hv \\ vv \end{bmatrix}$$

each element has
a zero mean circular
gaussian distribution

Complex gaussian pdf (Σ is the covariance matrix)

$$p(x | \Sigma) = \frac{1}{\pi^3 |\Sigma|} \exp(-x^* \Sigma^{-1} x)$$

x^* is the complex conjugate transpose of x

**The best maximum likelihood estimate of Σ is
the covariance calculated over the region (segment)**

$$\hat{\Sigma} = C = \frac{1}{n_s} \sum_{x \in S} x x^*$$

n_s is the number of pixels
in segment s

$$C = \frac{1}{n} \begin{bmatrix} \sum hh & \sum hh & \sum hh \\ \sum hv & \sum hv & \sum hv \\ \sum vv & \sum vv & \sum vv \end{bmatrix}$$

LML for a region s is

$$\begin{aligned} LML(s) &= \sum_{x \in s} \ln(p(x | C_s)) = \sum_{x \in s} \ln \left(\frac{1}{\pi^3 |C_s|} \exp(-x^* C_s^{-1} x) \right) \\ &= \sum_{x \in s} \left[-\ln \pi^3 - \ln |C_s| - x^* C_s^{-1} x \right] \\ &= -n_s \ln \pi^3 - n_s \ln |C_s| - \sum_{x \in s} x^* C_s^{-1} x \\ &= -n_s \ln |C_s| - n_s \ln \pi^3 - 3n_s \end{aligned}$$

constant term for the whole image

The variation produced by merging 2 segments is

$$\begin{aligned}\Delta &= LML(s_i) + LML(s_j) - LML(s_i \cup s_j) \\ &= -n_{si} \ln |C_{si}| - n_{sj} \ln |C_{sj}| + (n_{si} + n_{sj}) \ln |C_{si \cup sj}|\end{aligned}$$

Hierarchical segmentation:

**at each iteration, merge the 2 segments
that minimize the stepwise criterion $C_{i,j}$**

$$C_{i,j} = (n_{si} + n_{sj}) \ln |C_{si \cup sj}| - n_{si} \ln |C_{si}| - n_{sj} \ln |C_{sj}|$$

SEGMENTATION BY HYPOTHESIS TESTING

Test the similarity of segment covariances $C_i = C_j = C$
- merge segment with same covariance

Use the difference of determinant logarithms as a test statistic

$$C_{i,j} = K \left\{ (n_{si} + n_{sj}) \ln |C_{si \cup sj}| - n_{si} \ln |C_{si}| - n_{sj} \ln |C_{sj}| \right\}$$

With the scaling factor K , the statistic is approximately distributed as a chi-squared variable with 6 degrees of freedom as n_{si} and n_{sj} become large.

$$K = 1 - \frac{13}{12} \left(\frac{1}{n_{si}} + \frac{1}{n_{sj}} - \frac{1}{(n_{si} + n_{sj})} \right)$$

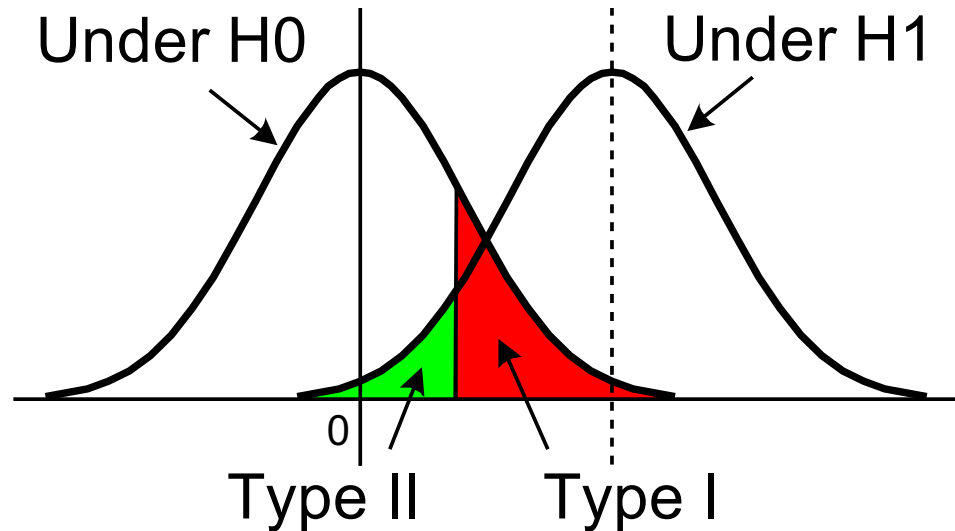
Segmentation by hypothesis testing

Two hypothesis

H0: segments are similar

H1: segments are different

**Distributions of
the statistic d
under H0 and H1**



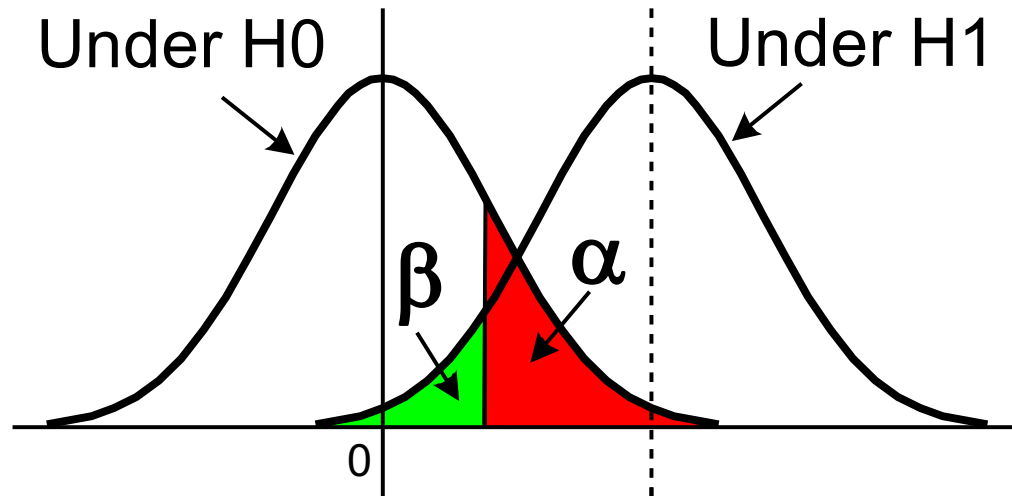
Two types of errors

Type I: not merging similar segments

Type II: merging different segments

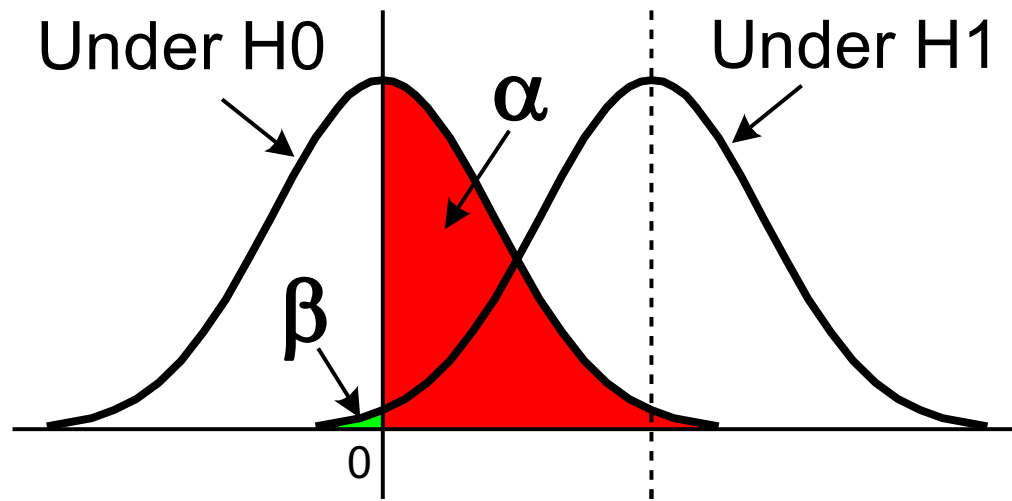
$\alpha = \text{Prob}(\text{ Type I errors })$

$\beta = \text{Prob}(\text{ Type II errors })$



**Select the threshold to minimise α or β ,
but not both simultaneously**

In hierarchical segmentation, type II errors (merging different segments) can not be corrected, while type I errors can be corrected later on.



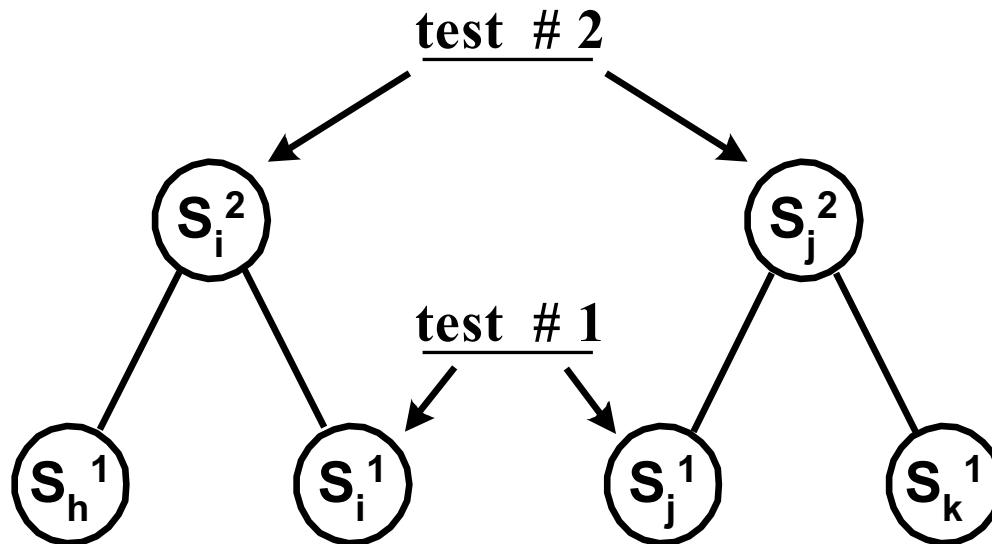
**The distribution of H1 and β are unknown.
Reduce β by increasing α .**

Sequential testing:

α will be reduced as segment sizes increase.

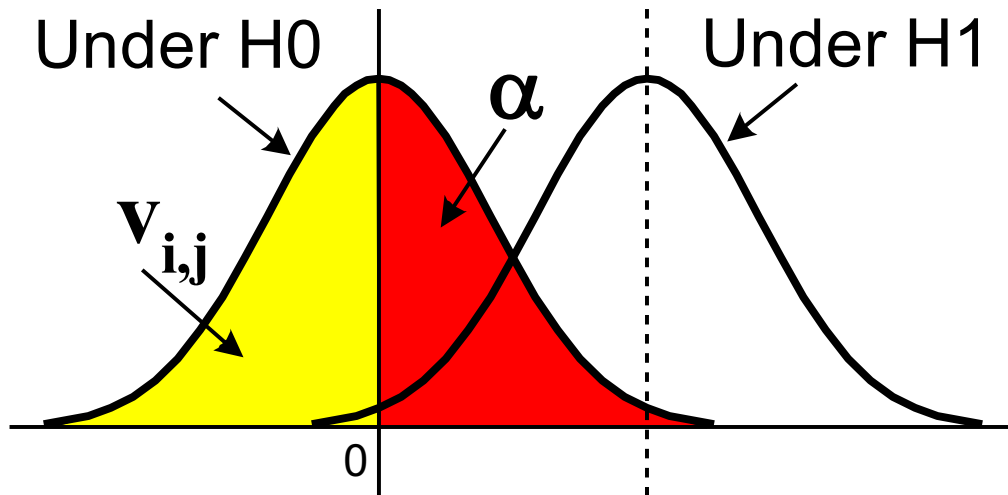
$$\alpha_{1+2+\dots} \leq \text{minimum}(\alpha_1, \alpha_2, \dots)$$

$$\beta_{1+2+\dots} \geq \text{maximum}(\beta_1, \beta_2, \dots)$$



Stepwise criterion

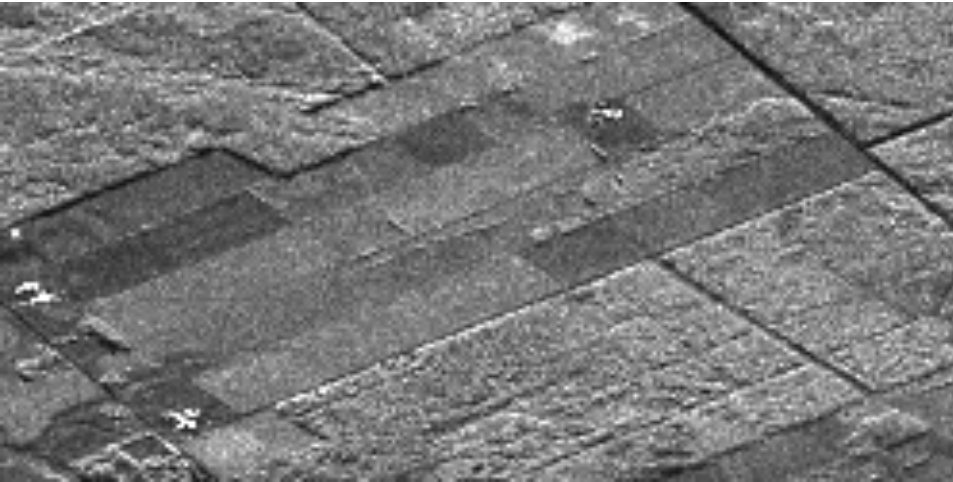
Find and merge the segment pair (i, j)
that minimizes $V_{i,j}$ ($= 1 - \alpha$).



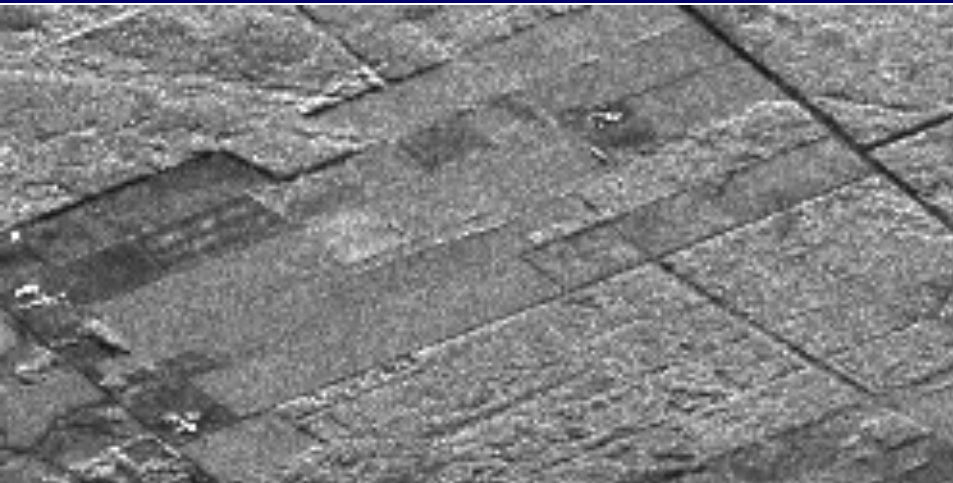
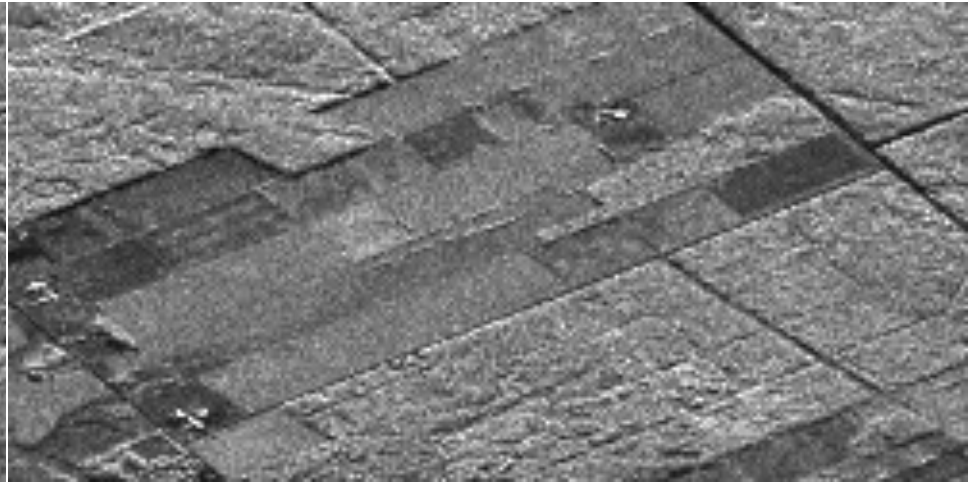
$$V_{i,j} = \text{Prob}(d \leq d_{i,j} ; H_0) \quad (= 1 - \alpha).$$

Amplitude values

|hh|



|hv|



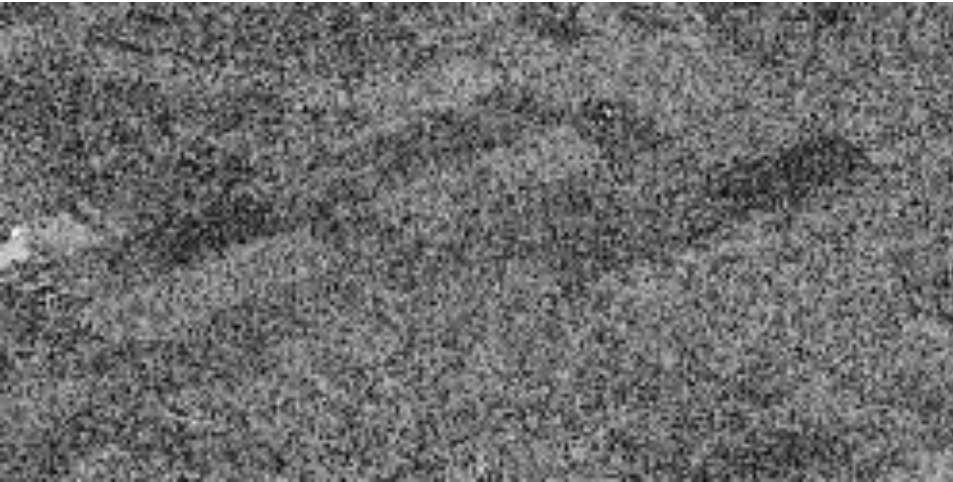
|vv|

|hh| / |hv| / |vv|

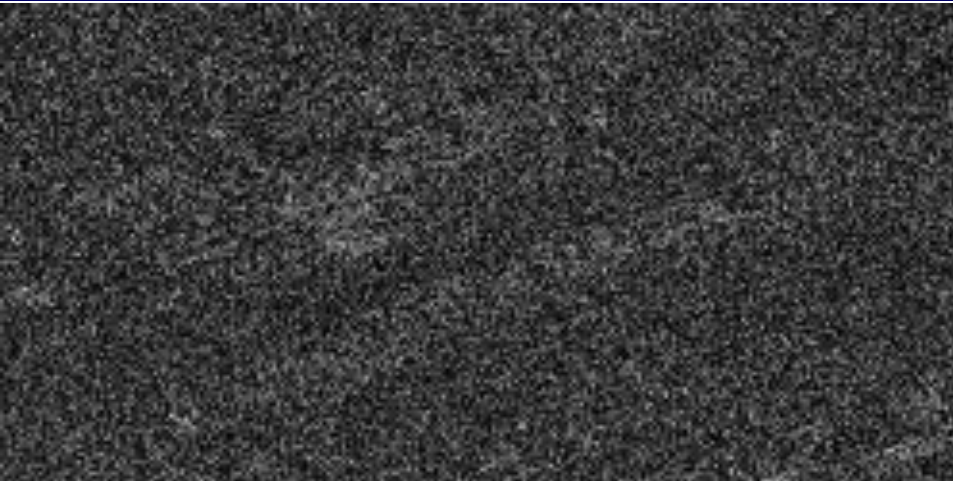
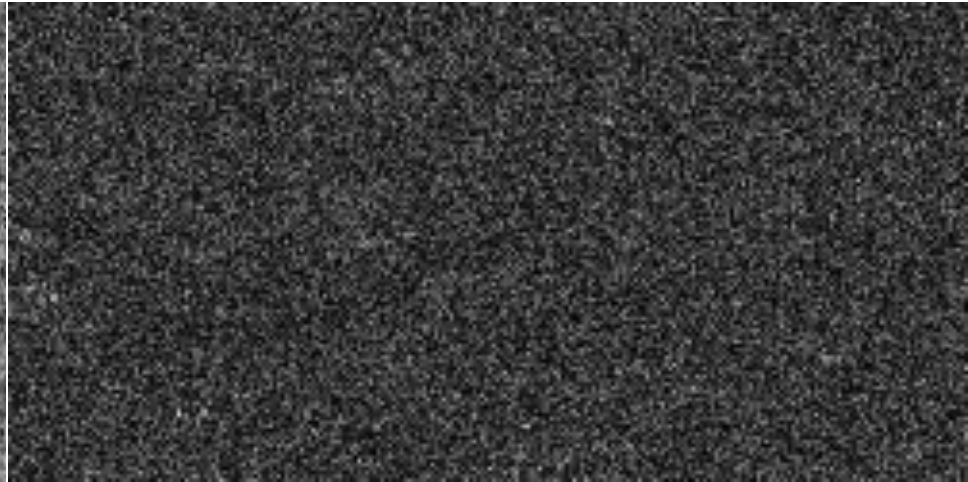
80 pixels / cell

Correlation – module (0 – 1)

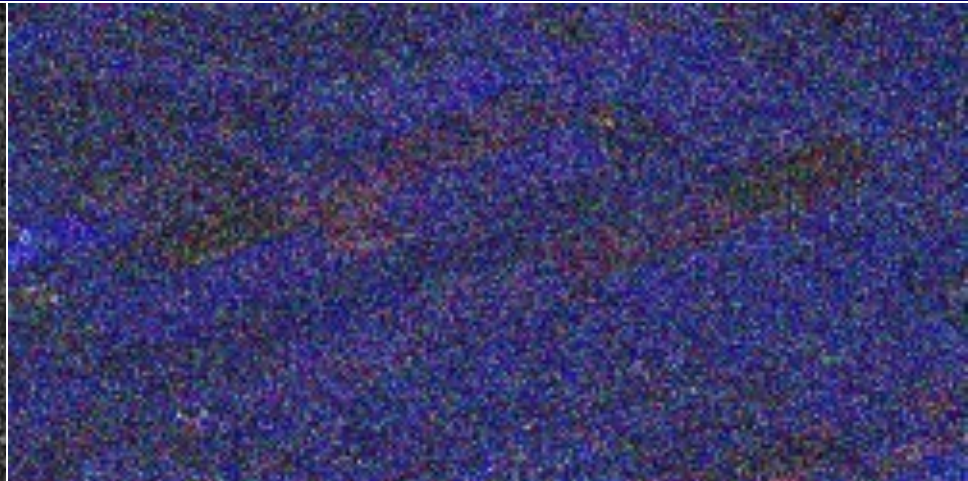
hh vv*



hh hv*



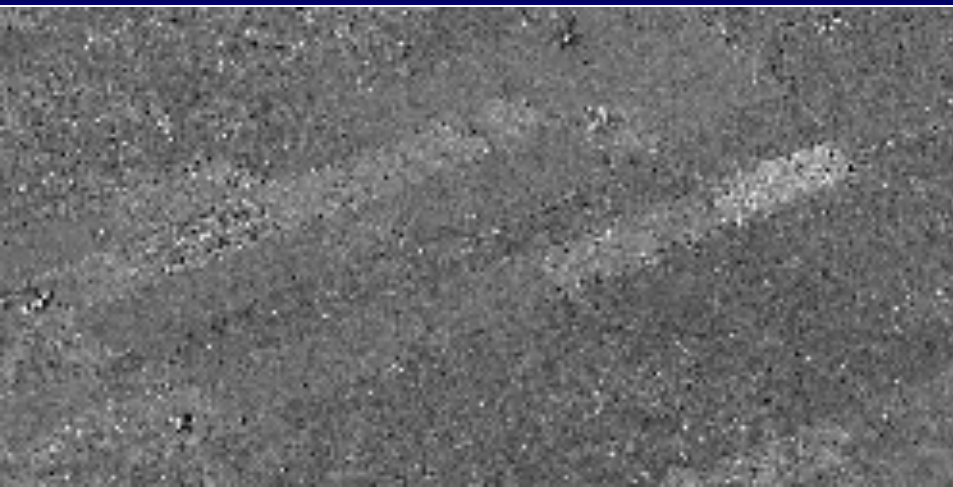
vv hv*



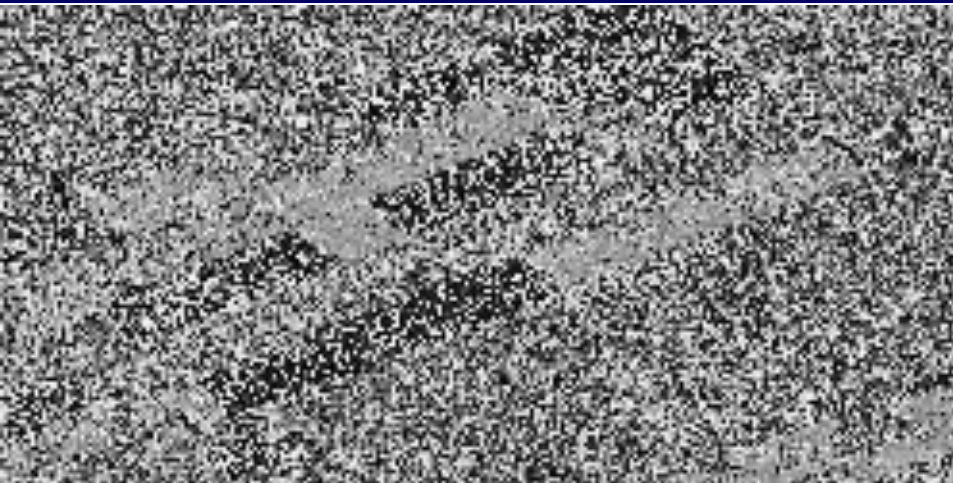
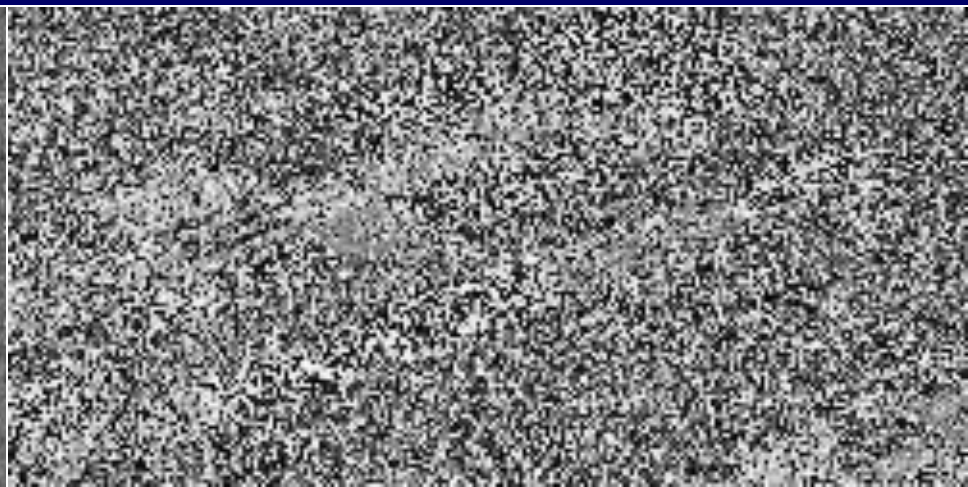
hh vv*/ hh hv*/ vv hv*

Correlation – phase (-180° – 180°)

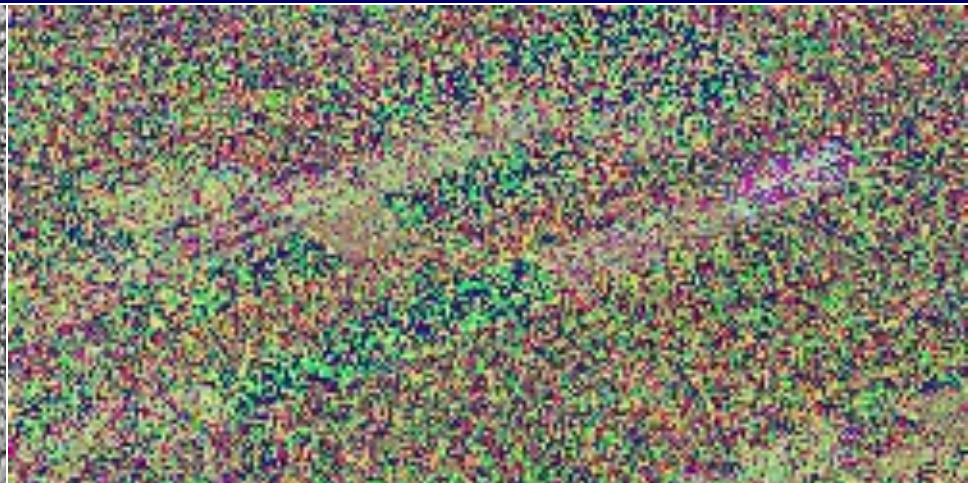
hh vv*



hh hv*

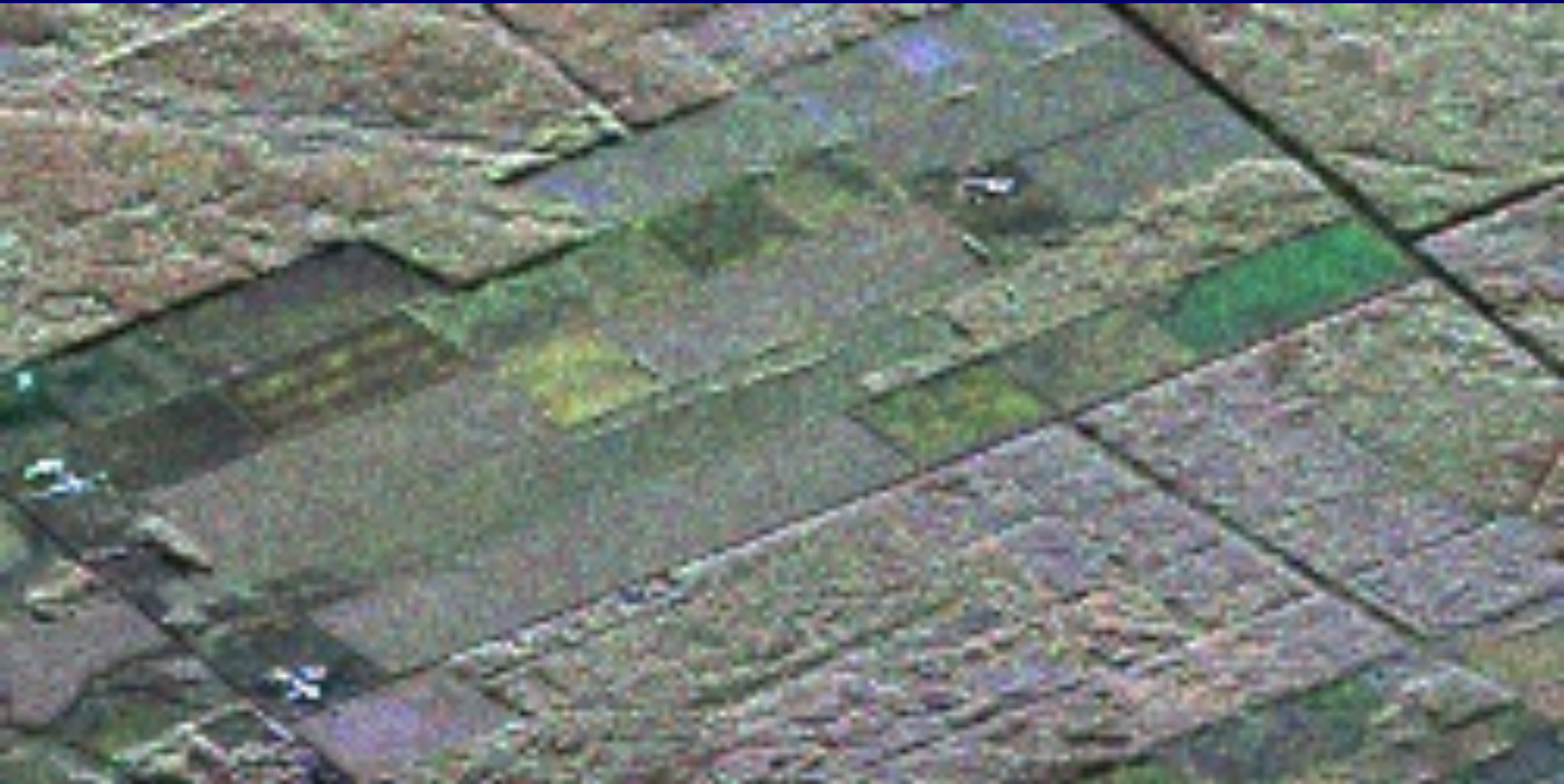


vv hv*

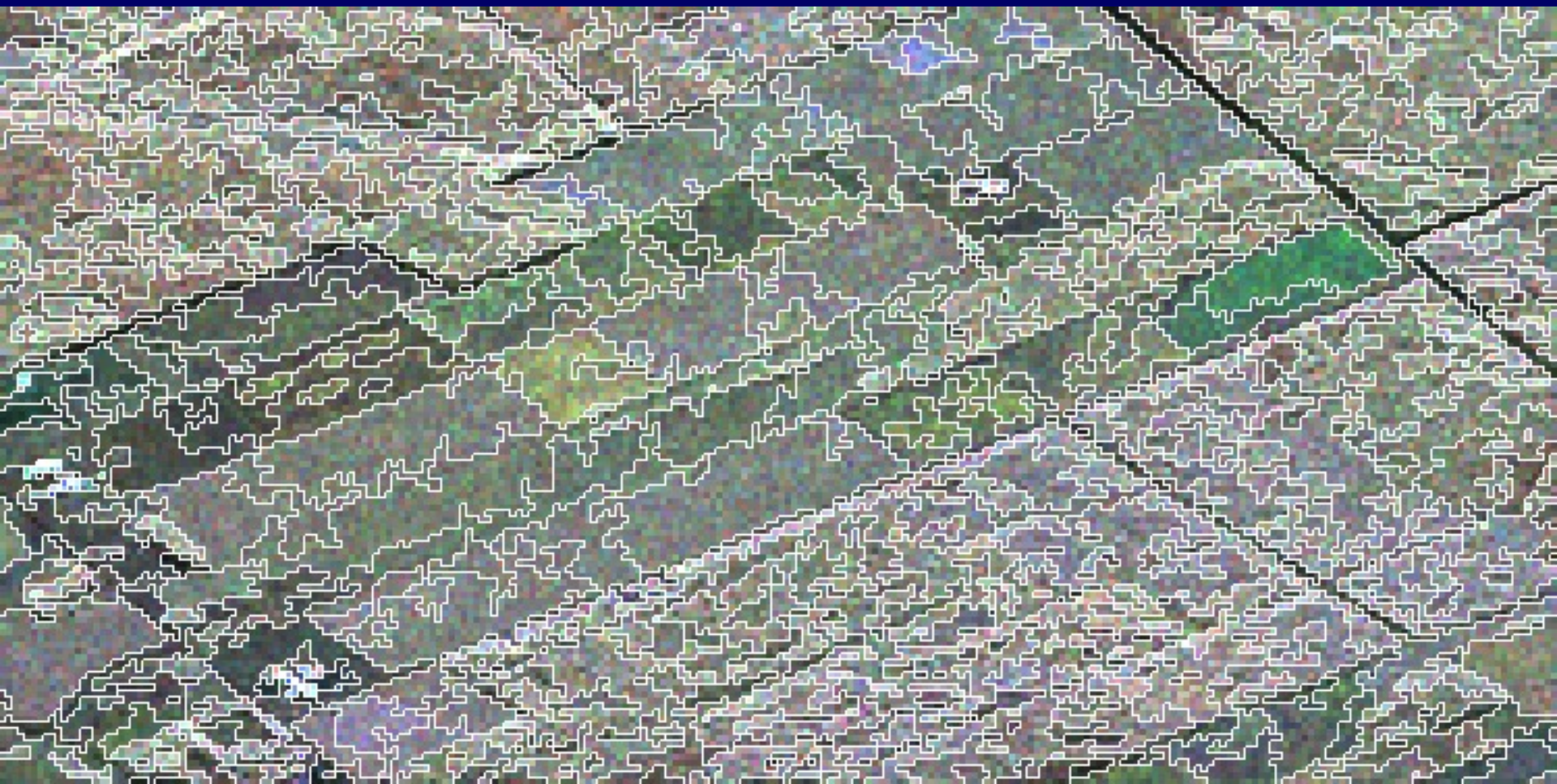


hh vv* / hh hv* / vv hv*

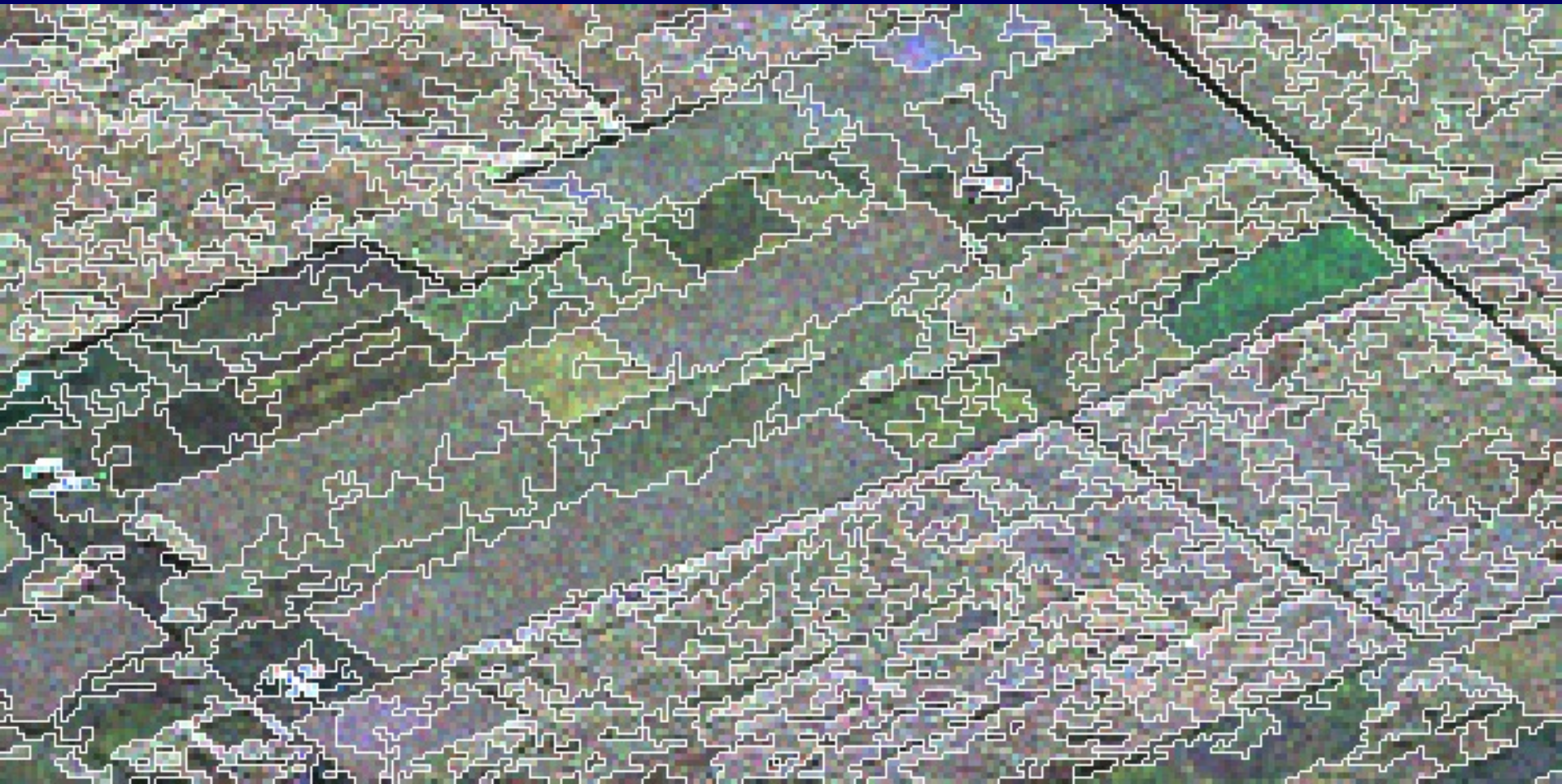
Amplitude image



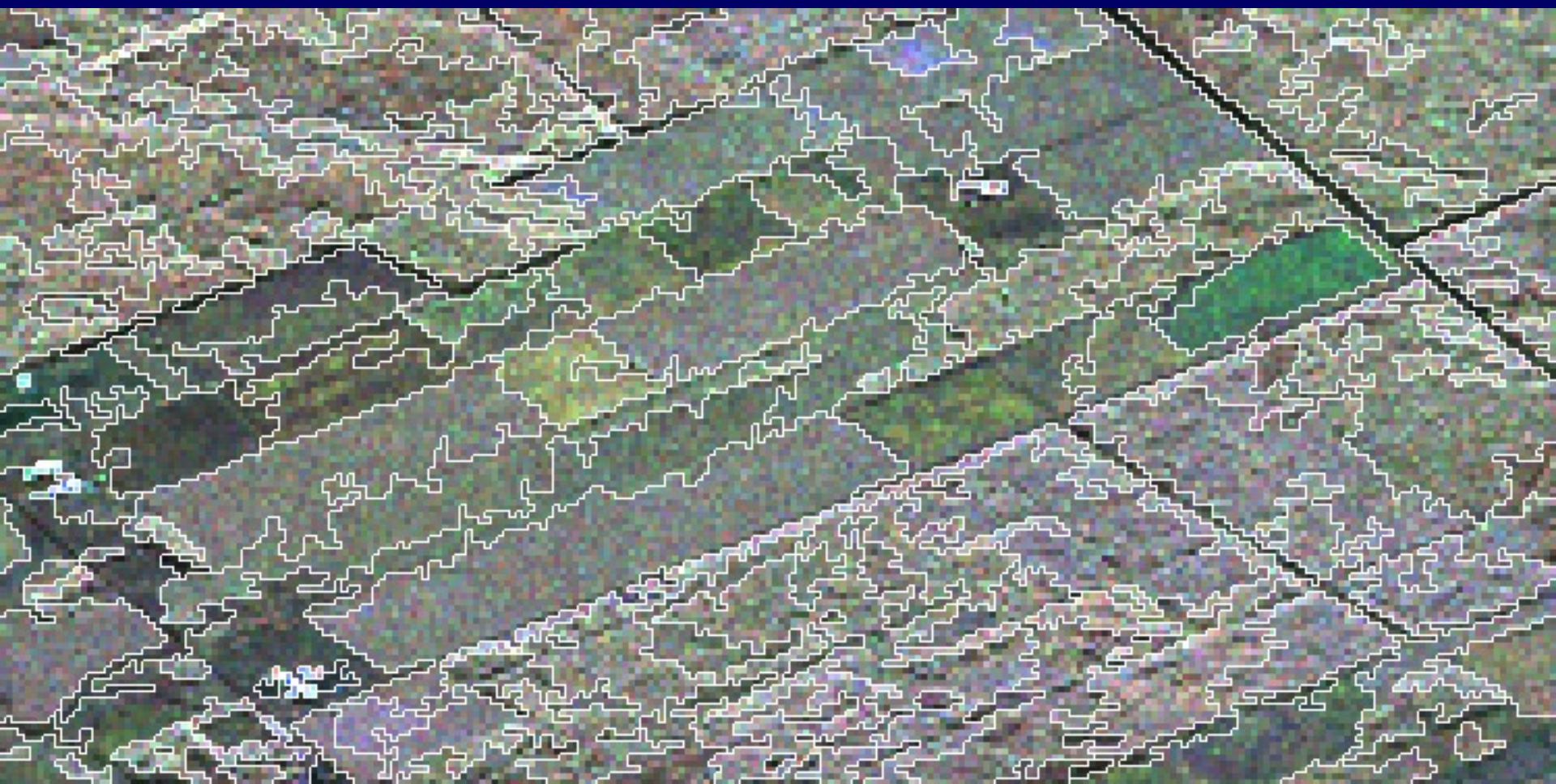
1000 segments



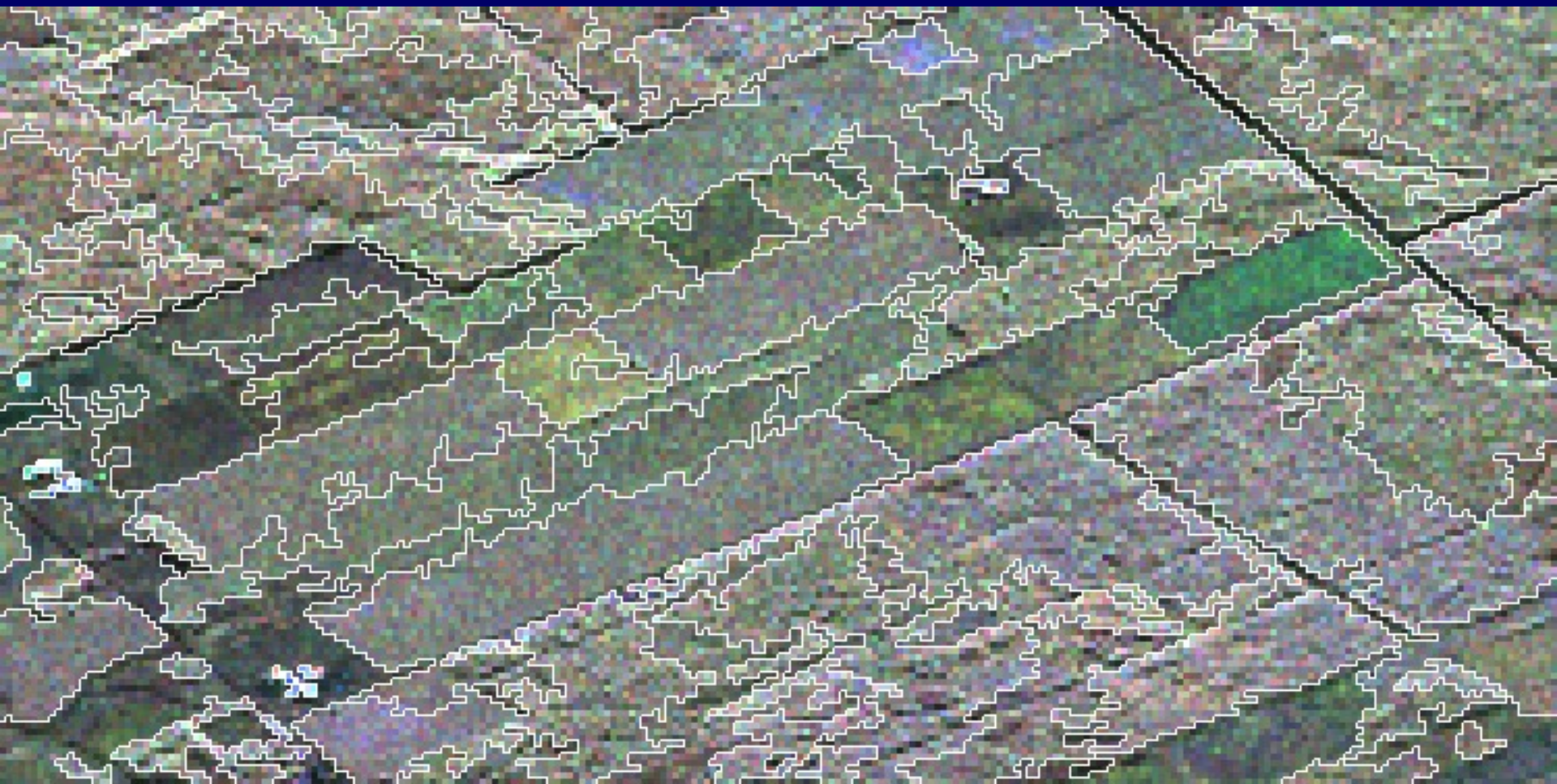
500 segments



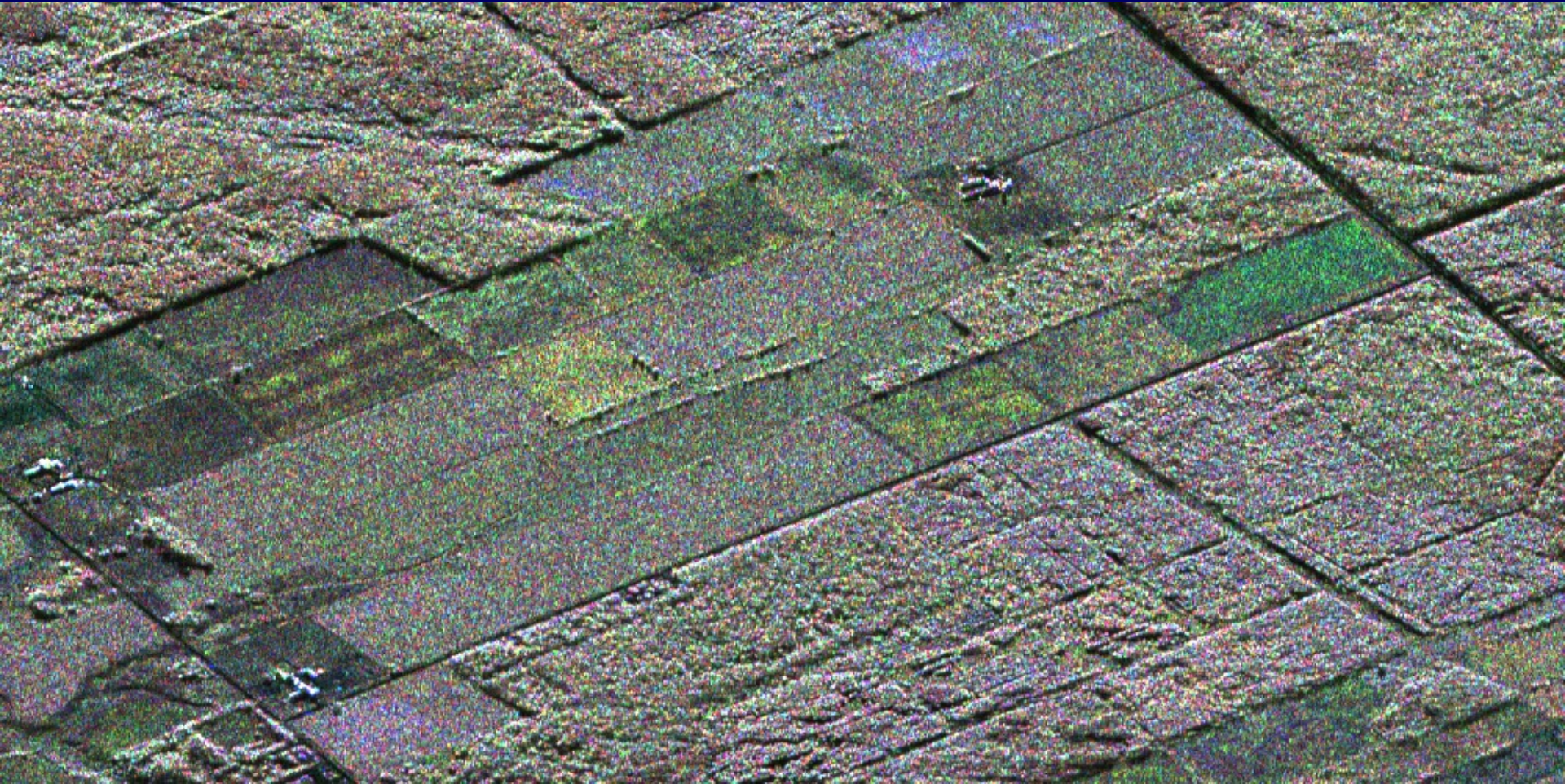
200 segments



100 segments



Amplitude image



5 pixels / cell

CRITERION FOR SMALL SEGMENTS

The determinant $|\mathbf{C}|$ is null for small segments

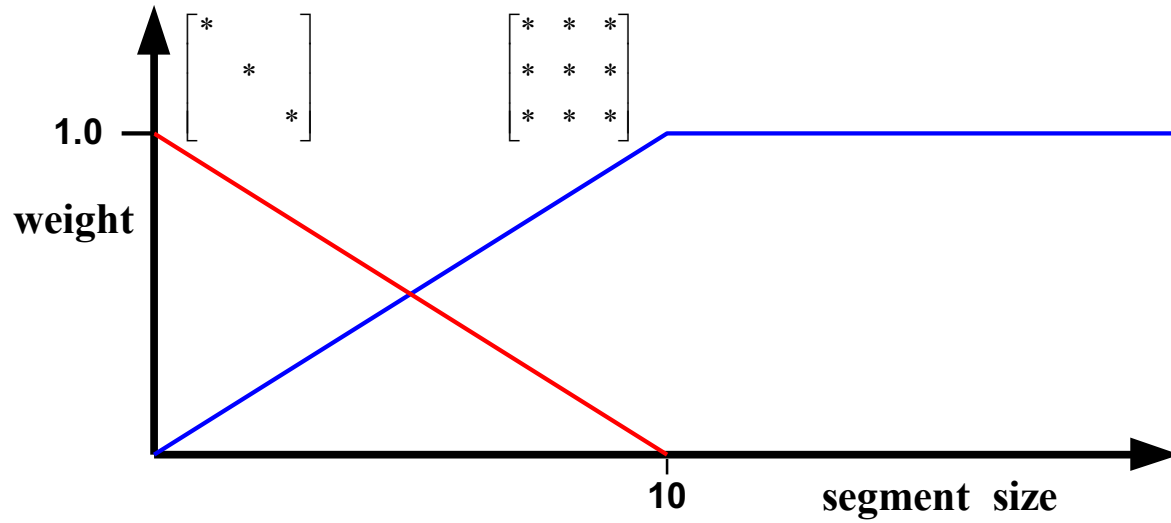
$$\mathbf{C} = \frac{1}{n} \begin{bmatrix} \sum hh \, hh^* & \sum hh \, hv^* & \sum hh \, vv^* \\ \sum hv \, hh^* & \sum hv \, hv^* & \sum hv \, vv^* \\ \sum vv \, hh^* & \sum vv \, hv^* & \sum vv \, vv^* \end{bmatrix}$$

Reduce covariance matrix model for small segments

$$\frac{1}{n} \begin{bmatrix} \sum hh \, hh^* & 0 & \sum hh \, vv^* \\ 0 & \sum hv \, hv^* & 0 \\ \sum vv \, hh^* & 0 & \sum vv \, vv^* \end{bmatrix}$$

$$\frac{1}{n} \begin{bmatrix} \sum hh \, hh^* & 0 & 0 \\ 0 & \sum hv \, hv^* & 0 \\ 0 & 0 & \sum vv \, vv^* \end{bmatrix}$$

Gradual transition between models



SEGMENT SHAPE CRITERIA

High speckle noise

→ first merges produce ill formed segments

• Bonding box – perimeter C_p

• Bonding box – area C_a

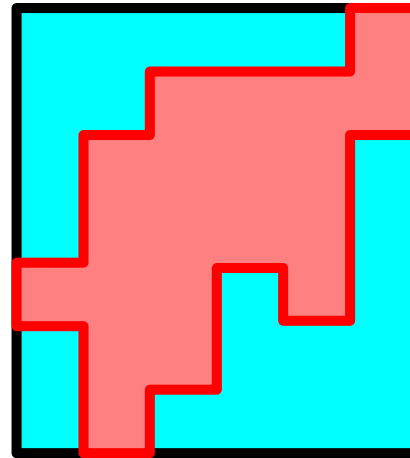
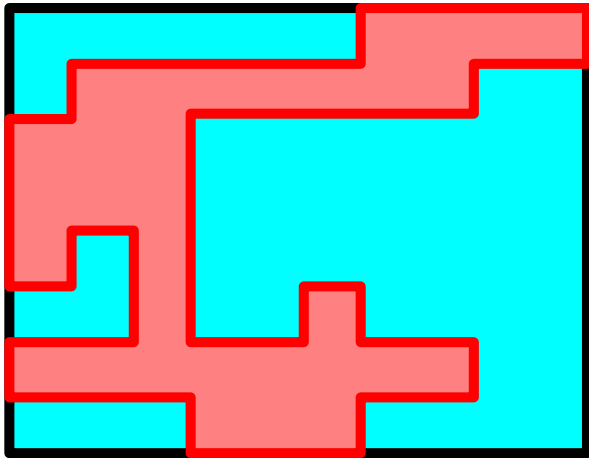
• Contour length C_l

New criteria

$$C_{i,j}^{contour} = C_{i,j}^{polar} \times C_p^2 \times C_a \times C_l$$

Bonding box – area

$$Ca = \frac{\text{area of bonding box}}{\text{area of } S_i \cup S_j}$$

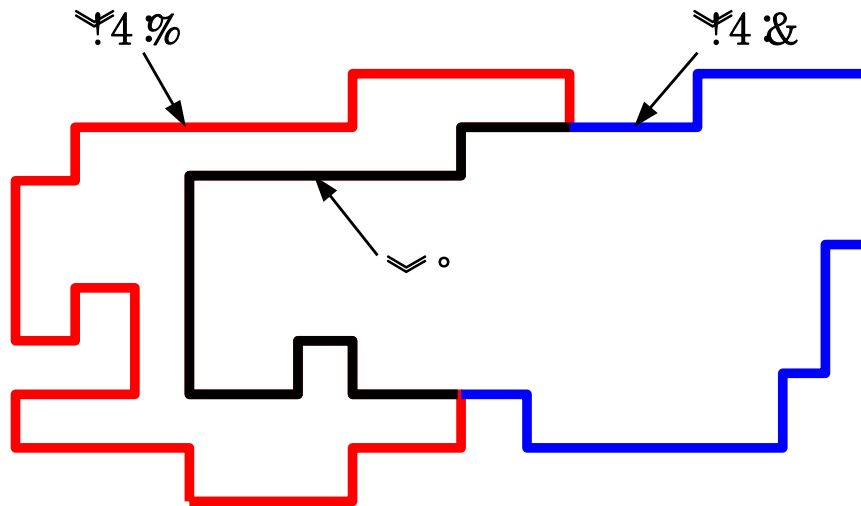


Contour length

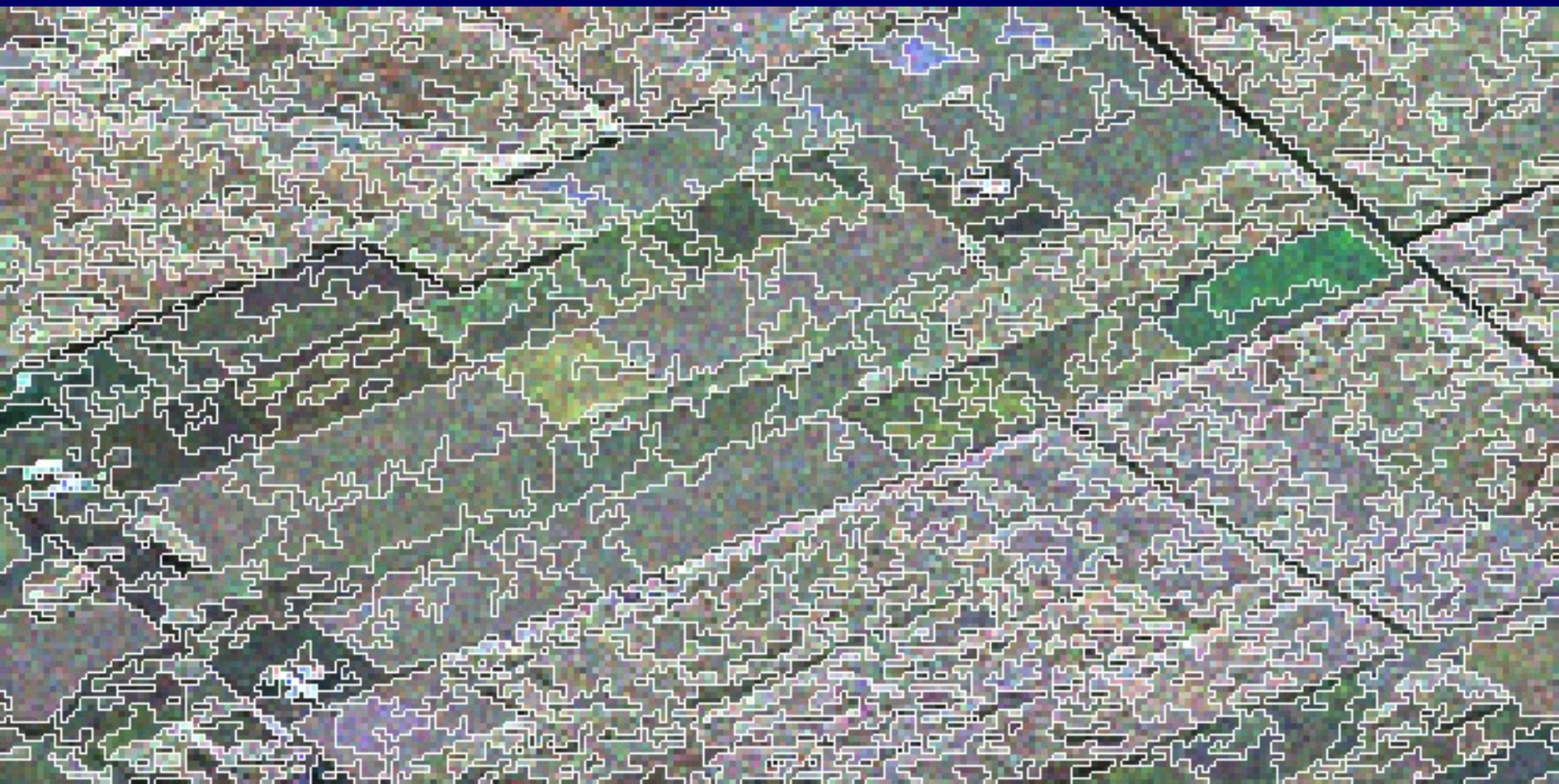
L_c = length of common part of contours

Lex_i = length of exclusive part for S_i

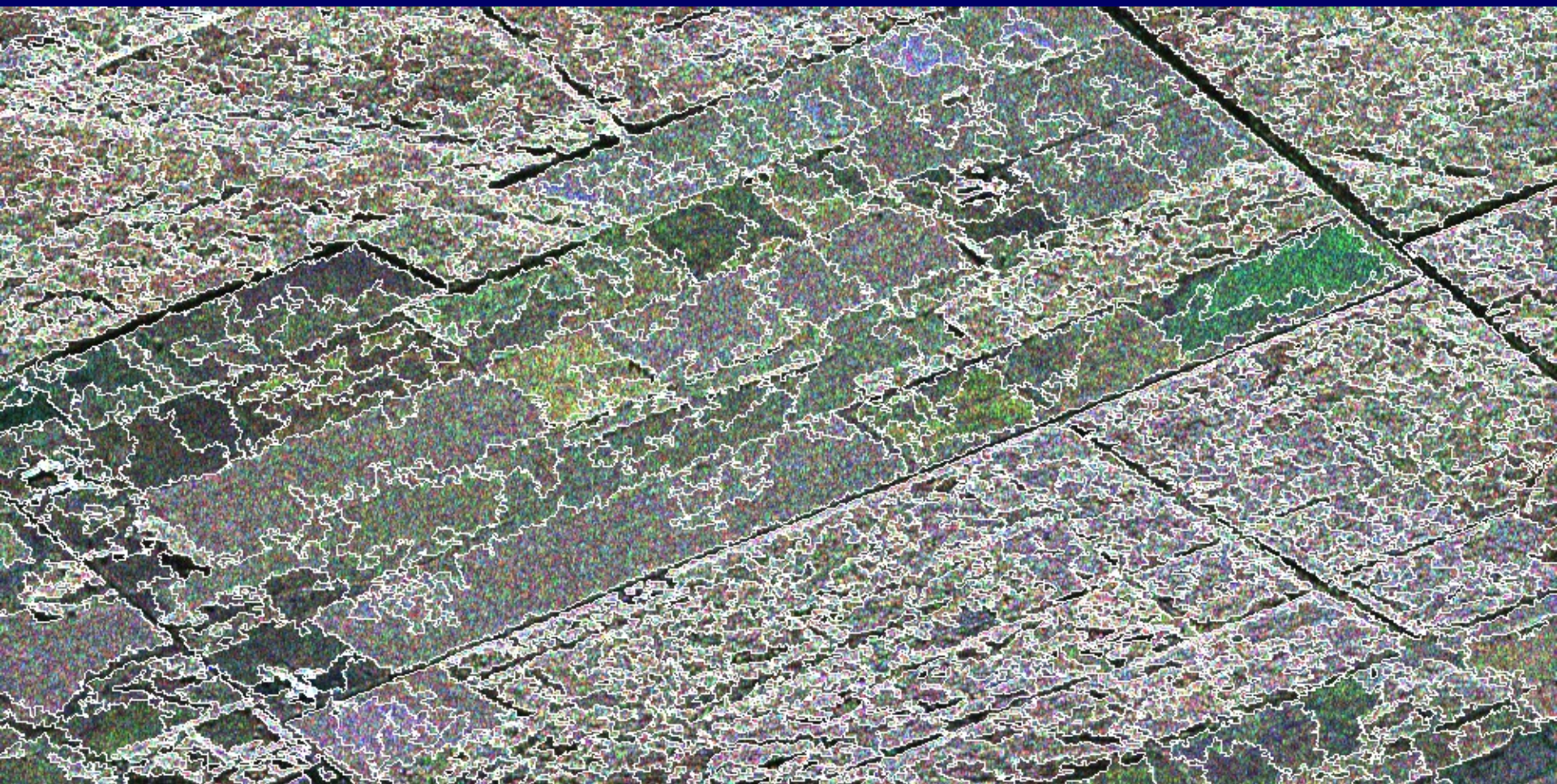
$$Cl = \text{Min} \left\{ \frac{Lex_i}{L_c}, \frac{Lex_j}{L_c} \right\}$$



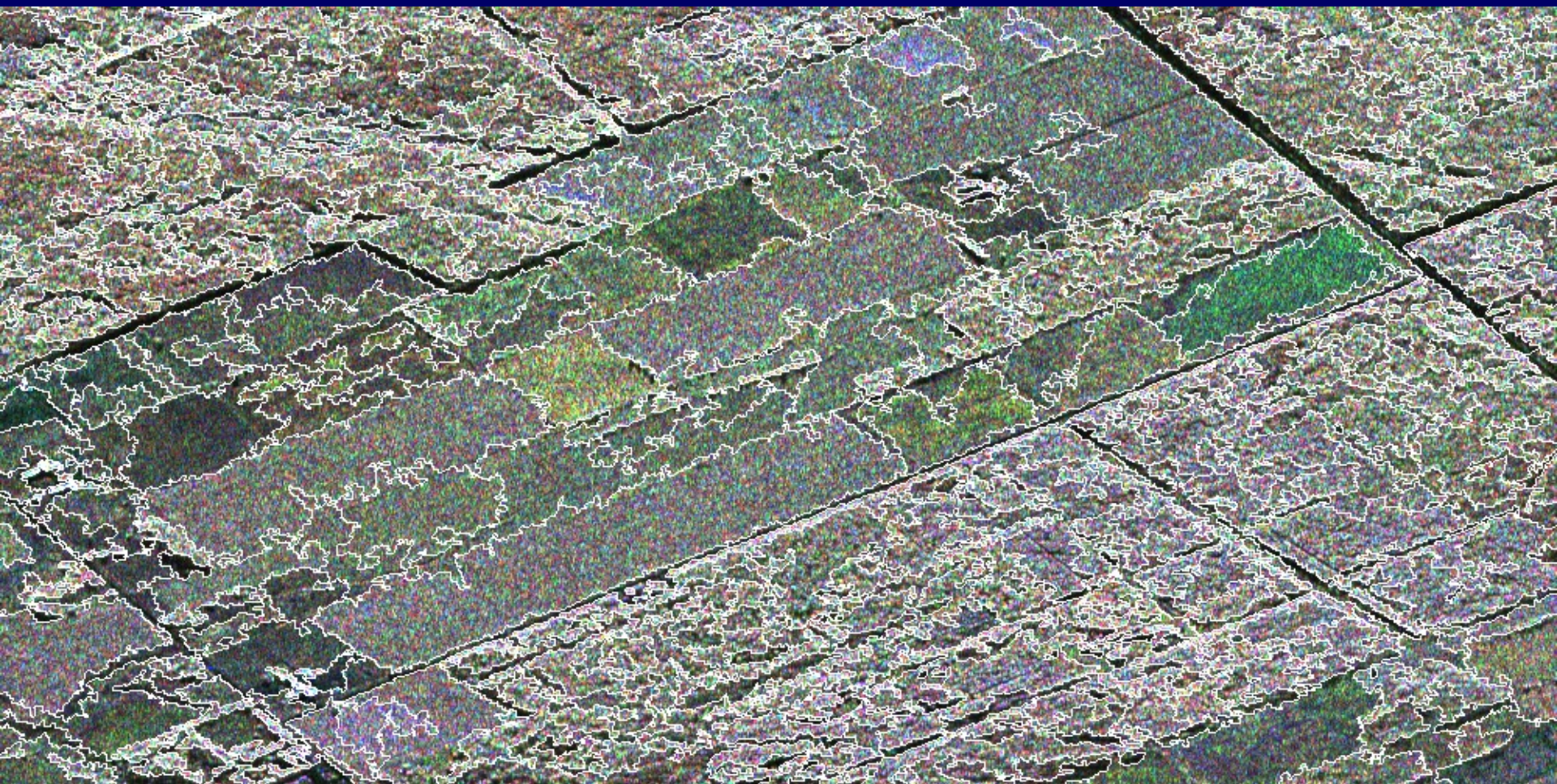
1000 segments – low resolution



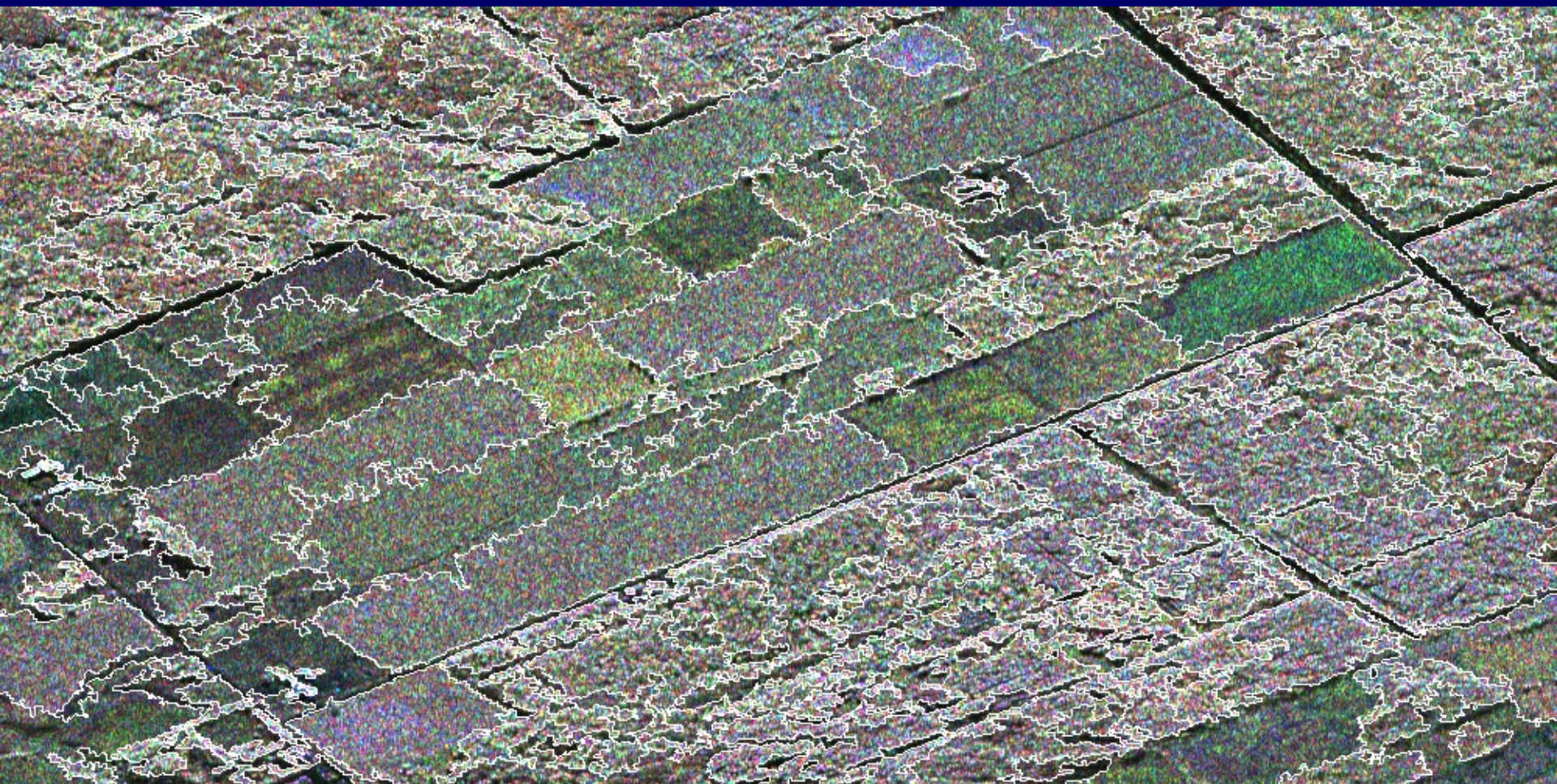
1000 segments



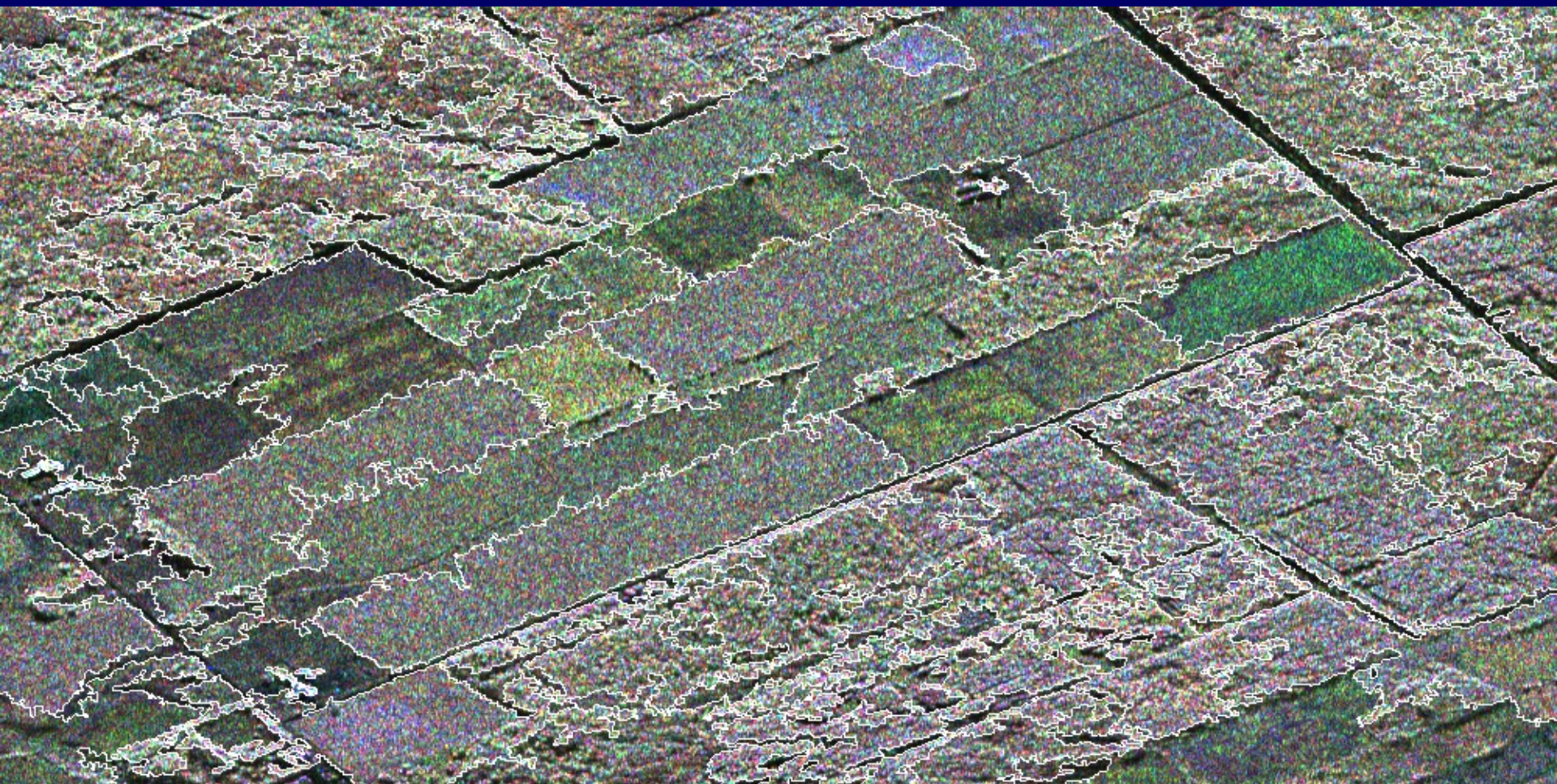
500 segments



200 segments



100 segments



CONCLUSION

- Hierarchical segmentation produces good results
- Criterion should be adapted to the application
- Good polarimetric criterion
- The first merges should be done correctly