## BeaulieuJM.ca/publi/Bea2019b

## EFFICIENT HIERARCHICAL CLUSTERING FOR POLSAR IMAGE ANALYSIS

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Hierarchical clustering is hard
large computing time

Could be a useful tool
with a fast implementation

- Iterative Clustering
- Move group centers (K-means algorithm)
- Fixed number of groups



## K-Means: Iterative Clustering

Calculate the distances between pixels and centers

## M centers



Number of operations


- Hierarchical clustering
- Sequential merging of clusters
- Merge the best pair
- Represented by a tree
- Step Wise Optimization



## Hierarchical Clustering

Calculate the distances between groups

## N groups



Number of operations
$\mathrm{N} \times \mathrm{N}$ (by iterations) $\times(\mathrm{N}-1)$ iterations $\approx \boldsymbol{\alpha} \mathrm{N}^{3}$

$$
10^{6} \times 10^{6} \times 10^{6} \rightarrow 10^{18} \rightarrow 1,000,000 \text { Tera op }
$$

## Hierarchical Clustering

## Update of Distances

## N groups



Number of operations

$$
\begin{array}{r}
N \times N \text { (initialization) }+\sum_{n=N} n \approx \alpha N^{2} \\
10^{6} \times 10^{6} \rightarrow 10^{12} \rightarrow 1 \text { Tera op }
\end{array}
$$

## Hierarchical Clustering

Update of Distances


Number of operations

$$
\begin{aligned}
& \mathrm{N} \times \mathrm{N} \text { (initialization) }+\sum_{\mathrm{n}=\mathrm{N}}^{2} \mathrm{n} \approx \boldsymbol{\alpha} \mathrm{~N}^{2} \\
& 10^{6} \times 10^{6} \rightarrow 10^{12} \rightarrow 1 \text { Tera op }
\end{aligned}
$$

## N groups



Number of operations
$N \times N$ (initialization) $+\sum_{n=N}^{2} n \approx \alpha N^{2}$
Memory space
$N \times N \rightarrow 10^{6} \times 10^{6} \rightarrow 10^{12} \rightarrow 1$ Tera values

- Non textured multi-look Polsar image
- $Z_{\mathrm{k}}$ follows a complex Wishart distribution

$$
p\left(Z_{k} \mid \Sigma\right)=\frac{L^{3 L}\left|Z_{k}\right|^{L-3} \exp \left\{-L \operatorname{tr}\left(\Sigma^{-1} Z_{k}\right)\right\}}{\pi^{3} \Gamma(L) \Gamma(L-1) \Gamma(L-2)|\Sigma|^{L}}
$$

- Distance between groups $\mathbf{D}(\mathbf{G i}, \mathbf{G j})$
- Log of the Likelihood Ratio

$$
D\left(G_{i}, G_{j}\right)=\left(n_{i}+n_{j}\right) \ln \left|\hat{\Sigma}_{G i \cup G j}\right|-n_{i} \ln \left|\hat{\Sigma}_{G i}\right|-n_{j} \ln \left|\hat{\Sigma}_{G j}\right|
$$

- Attributes or feature space (many dimensions)
- Radiometric information (or color/spectral)

$$
\begin{gathered}
\text { Radar 1-look } \\
x=\left[\begin{array}{l}
h h \\
h v \\
v v
\end{array}\right] \\
\text { Radar multi-look } \\
Z=\left[\begin{array}{|ccc}
\overline{h h h h^{*}} & \overline{h h h v^{*}} & \overline{h h v v^{*}} \\
\overline{h v h h^{*}} & \overline{h v h v^{*}} & \overline{h v v v^{*}} \\
\frac{v v h h^{*}}{v v h v^{*}} & \overline{v v v v^{*}}
\end{array}\right]
\end{gathered}
$$



- Spatial information - position in the image
- Clustering -- distance between points $\mathbf{D}(\mathbf{G i}, \mathbf{G j})$
- Segmentation -- only adjacent regions



## Finding the Minimum

## HeapSort sort tree $-\propto \mathrm{N} \log _{2} \mathrm{~N}$ <br> 20 Mega



The minimum indicate which group to merge and it is merged with its best neighbor

Do not need to keep and store the distance matrix

## Delayed Update of the neighbors

when a group is selected as the minimum
$\rightarrow$ check if already merged
$\rightarrow$ remove or update (2 to 5 factor)


## Fast Pre-Selection

Fast Testing $\rightarrow$ remove 90\% to 95\% of distance calculations Thresholding $\rightarrow$ ratio $|h h|_{\mathbf{i}} /|\mathrm{hh}|_{\mathbf{j}},|h v|_{\mathbf{i}} /|\mathrm{hv}|_{\mathbf{j}}$ et $|\mathrm{vv}|_{\mathbf{i}} /|\mathrm{vv}|_{\mathbf{j}}$

## Lists of the Closer Neighbors for the merging steps only

## Grid for Group Selection

 speedup list initialization

## Lists of the Closer Neighbors

Calculation of distances only for closer neighbors during the merging steps $\propto \mathrm{M} \mathrm{N}$
large initialization time $\propto N^{2}$


## Grid for Group Selection

Subspace of attributes divided into cells (5D, $8 \times 8 \times 8 \times 8 \times 8$ )

- Discretization $\rightarrow$ index (no) of the cell
- Cell $\quad \rightarrow$ hold a linked list of groups (pointer)

Search for the closer neighbors

- Inspect cells inside a window ( $3 \times 3 \times 3 \times 3 \times 3$ )
- Inspect less groups, but better candidates



## Computing Time (CPU)

| Image <br> size | segmen- <br> tation | grid | selection <br> + list | selection | list | no list |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{3 0 0 \times 4 0 0}$ | 0 s 400 ms | 15 s 200 ms | $2 \min 50 \mathrm{~s}$ | 8 min 21 s | 18 min 36 s | 42 min 20 s |
| $\mathbf{6 0 0 \times 4 0 0}$ | 0 s 830 ms | 31 s 500 ms | $11 \min 31 \mathrm{~s}$ | $35 \min 18 \mathrm{~s}$ | 74 min 42 s | 177 min 29 s |
| $\mathbf{6 0 0 \times 8 0 0}$ | 1 s 780 ms | 1 min 7 s 400 ms | $35 \min 3 \mathrm{~s}$ |  |  |  |
| $\mathbf{1 0 0 0 \times 1 0 0 0}$ | 3 s 860 ms | $2 \min 31 \mathrm{~s} 300 \mathrm{~ms}$ |  |  |  |  |


Omin $30 \mathrm{~min} \quad 60 \mathrm{~min} \quad 90 \mathrm{~min} \quad 120 \mathrm{~min} \quad 150 \mathrm{~min} 180 \mathrm{~m}$

## Computing Time (CPU)



## Computing Time (CPU)

| Image size | segmentation | grid | selection + list | selection | list | no list |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 300x400 | Os 400 ms | 15s 200 ms | 2 min 50 s | 8 min 21 s | 18 min 36 s | 42 min 20 s |
| 600x400 | Os 830 ms | 31 s 500 ms | 11 min 31 s | 35 min 18 s | 74 min 42 s | 177 min 29 s |
| 600x800 | 1s 780 ms | 1 min 7 s 400 ms | 35 min 3 s |  |  |  |
| 1000x1000 | 3s 860 ms | 2 min 31 s 300 ms |  |  |  |  |








## Conclusion

## Hierarchical clustering is hard large computing time

Become a useful tool with a fast implementation

Should know when and how to use it







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